

# Analyzing the stability of flux coupling methods with a simple atmospheric boundary layer model

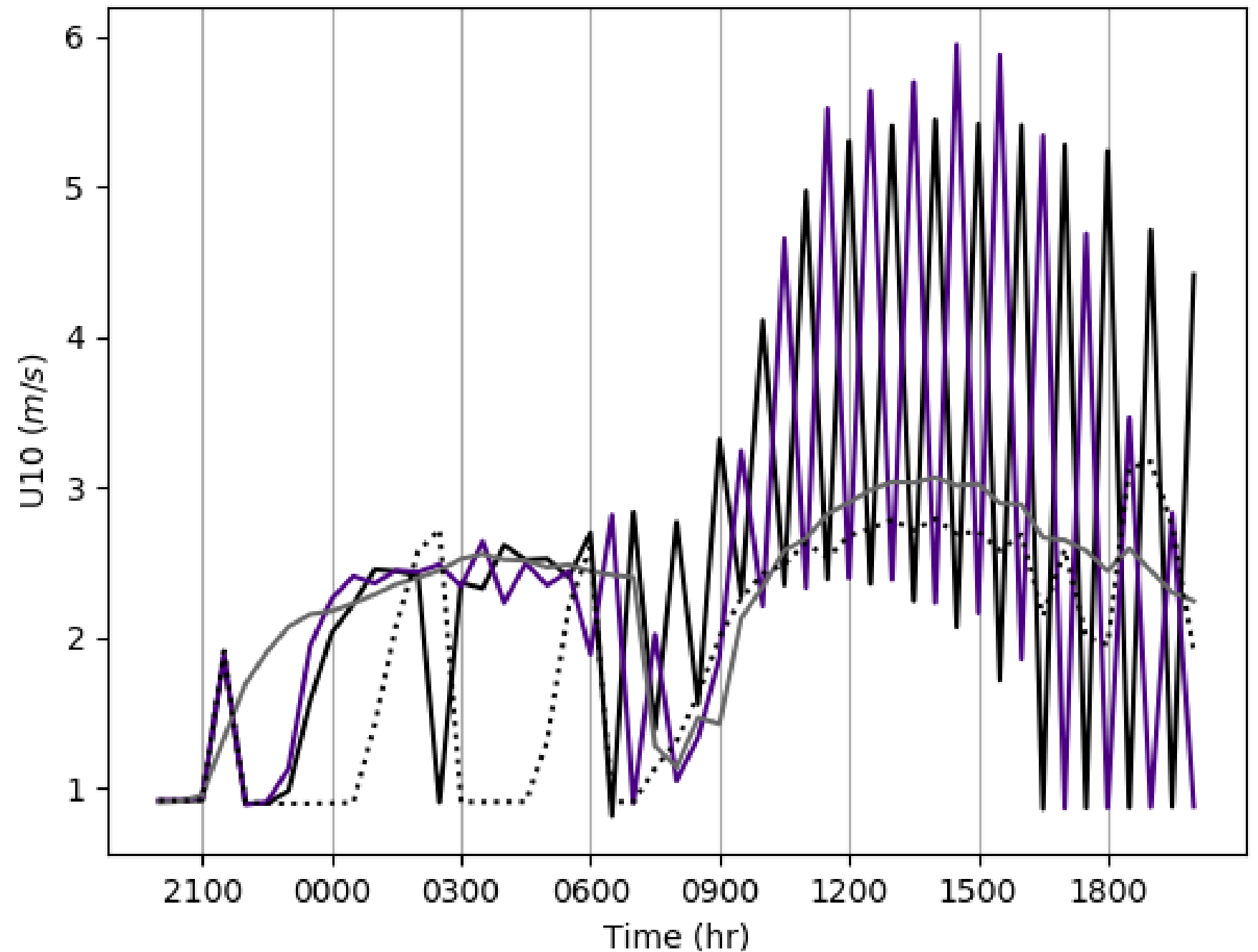
June 14, 2024

**Sean Patrick Santos**



# A bit of history

- In early 2021, I noticed some odd “fog” in the boundary layer over the Amazon in some E3SMv1 runs.
- Investigating this a bit further, it became clear that near-surface wind was oscillating wildly in this region.
- Dynamics and PBL scheme dominate budget.
  - Black = default settings
  - Purple = 10s “macmic”  $\Delta t$
  - Grey = 10s atm  $\Delta t$
  - Dotted = “srf\_flux\_avg”





## What's the cause? Many initial hypotheses...

1. This behavior is due to some kind of previously undiscovered bug.
  - “Unknown bug” is not specific enough to be falsifiable. (But specific bugs were found.)
2. Numerical instability due to a specific process internal to the atmosphere having a coarse time step, specifically CLUBB or the dynamics.
  - Pretty much immediately ruled out; already had data from short time step runs.
3. Numerical instability related to process coupling within and between the atmosphere and land models overall.
  - a) Could be related to the atmosphere's process order, i.e. coupler, dynamics, then PBL scheme vs. coupler, PBL scheme, then dynamics.
  - b) Could be related to the coarse atmosphere-land coupling step.
4. Numerical instability in the iterative solver(s) diagnosing surface energy balance in the land model.
  - Quickly ruled out a simple “too few iterations” issue, though other possibilities exist.

# Further developments

- The oscillations are regime- and location-dependent. Worse when:
  - Surface is rougher (mountains, forests).
  - Surface is colder - boundary layer is convectively stable.
  - Wind speed is higher.
  - Atmosphere's vertical resolution is finer (worse for EAMv1 than CAM5).
- An E3SM-specific bug was found in the gustiness parameterization; it produced a discontinuity in surface stress at low wind speeds.
  - Fixing this bug removed oscillations at small time step sizes, but also increased near-surface wind speed, so it made the problem worse at default settings!
- Hacked together an “implicit” atmosphere-land coupling scheme.
- Changed process order of CLUBB and dynamics.
  - Helped, particularly combined with “implicit” mods. But also affects wind speeds.

# Solutions to date

- The most effective solutions have involved two types of changes:
  - Change process order to run CLUBB before dynamics.
  - Avoid explicit flux coupling OR update stress more frequently.
- E3SM prototype:
  - Use implicit predictor-corrector method to calculate the stress.
  - Then move CLUBB to before dynamics.
    - ✓ Unfortunately, changing process order changes climate and intermittently crashes, possibly requiring retuning or other numerics changes.
- CAM solution:
  - Move CLUBB to before dynamics (tphysac).
  - Update momentum fluxes every CLUBB time step.
    - ✓ Does not conserve momentum over ocean.
- AM4-CLUBB: updating momentum fluxes more frequently improves wind field.



## Questions to answer

- What time step size do we need to use to avoid this issue, anyway?
- Why exactly does process order matter?
  - Standard explanation: mismatch between winds where surface fluxes are calculated, versus where applied, is bad.
  - But how does this cause oscillatory behavior?
  - Is using a different process order actually necessary to fix this problem?
- Why does the problem occur at all?
  - Want some kind of simplified test case to reproduce this.
  - Want to know if future models or numerical methods will encounter this problem.

# Simplified boundary layer model

- Proposed 1D model using a complex number  $s = u + iv$  to represent winds:

$$\frac{\partial s}{\partial t} = K \frac{\partial^2 s}{\partial z^2} - \left( \frac{1}{\eta} + if \right) (s - u_g)$$

- $K$  is eddy diffusivity,  $\eta$  is a dynamics timescale,  $f$  is the Coriolis parameter,  $u_g$  is geostrophic wind

- Boundary conditions:

$$\lim_{z \rightarrow z_{bot}} -K \frac{\partial s}{\partial z} = \frac{\tau(s(z_{bot}))}{\rho}$$
$$\lim_{z \rightarrow \infty} s = u_g$$

- Where  $\tau(s)$  is stress as a function of the surface wind.

- Initial condition:

$$s \Big|_{t=0} = s^0(z)$$



# Analytic results

- We can introduce the effect of explicit flux coupling by holding  $\tau$  constant over a given time step.
- By linearizing  $\tau$  around the steady state solution, we can analytically solve for surface winds over multiple time steps, and do stability analysis.
- This gives an analytic bound for the maximum stable time step:

$$\Delta t < \eta \left[ \operatorname{erf}^{-1} \left( \frac{\sqrt{K} \rho}{\sqrt{\eta} \left( \frac{d|\tau|}{d|s|} \right)} \right) \right]^2$$

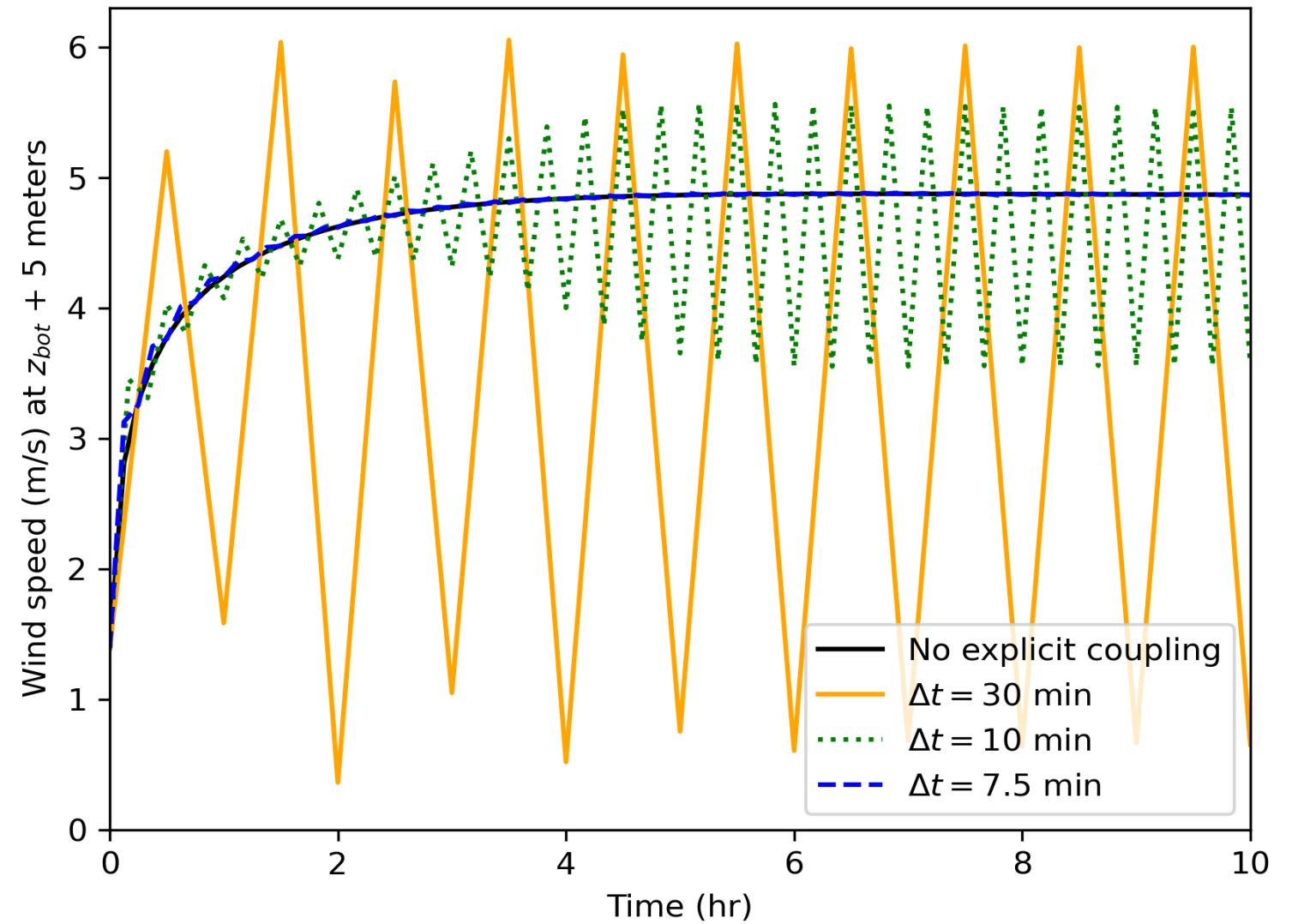
- Valid at equator; if  $f \neq 0$  the expression is much more complicated.
- Since this is a little unwieldy to evaluate, there is a simpler, weaker bound:

$$\Delta t < \frac{K}{\pi \left( u_* \frac{du_*}{du_{bot}} \right)^2}$$

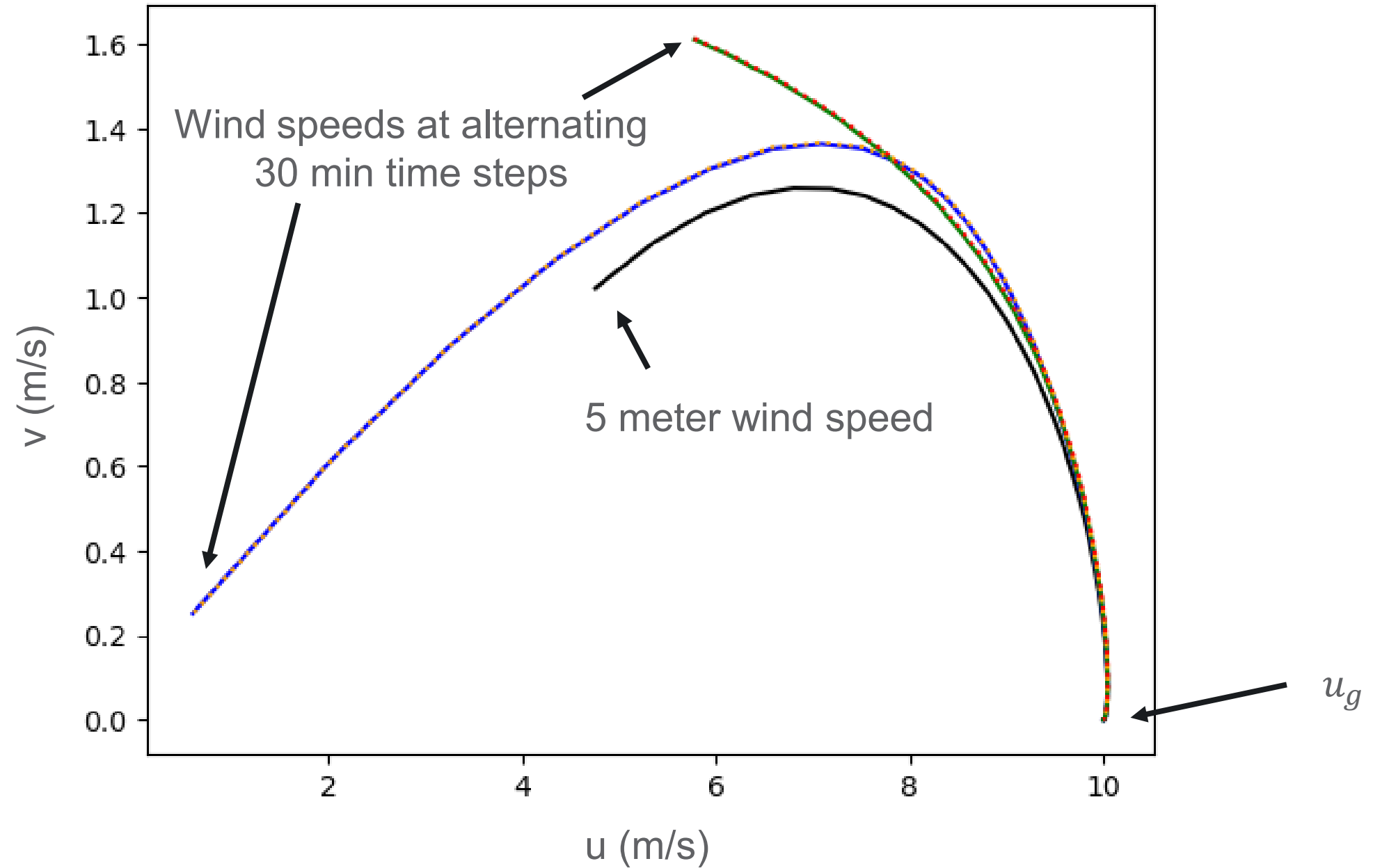


# Reproducing oscillatory behavior

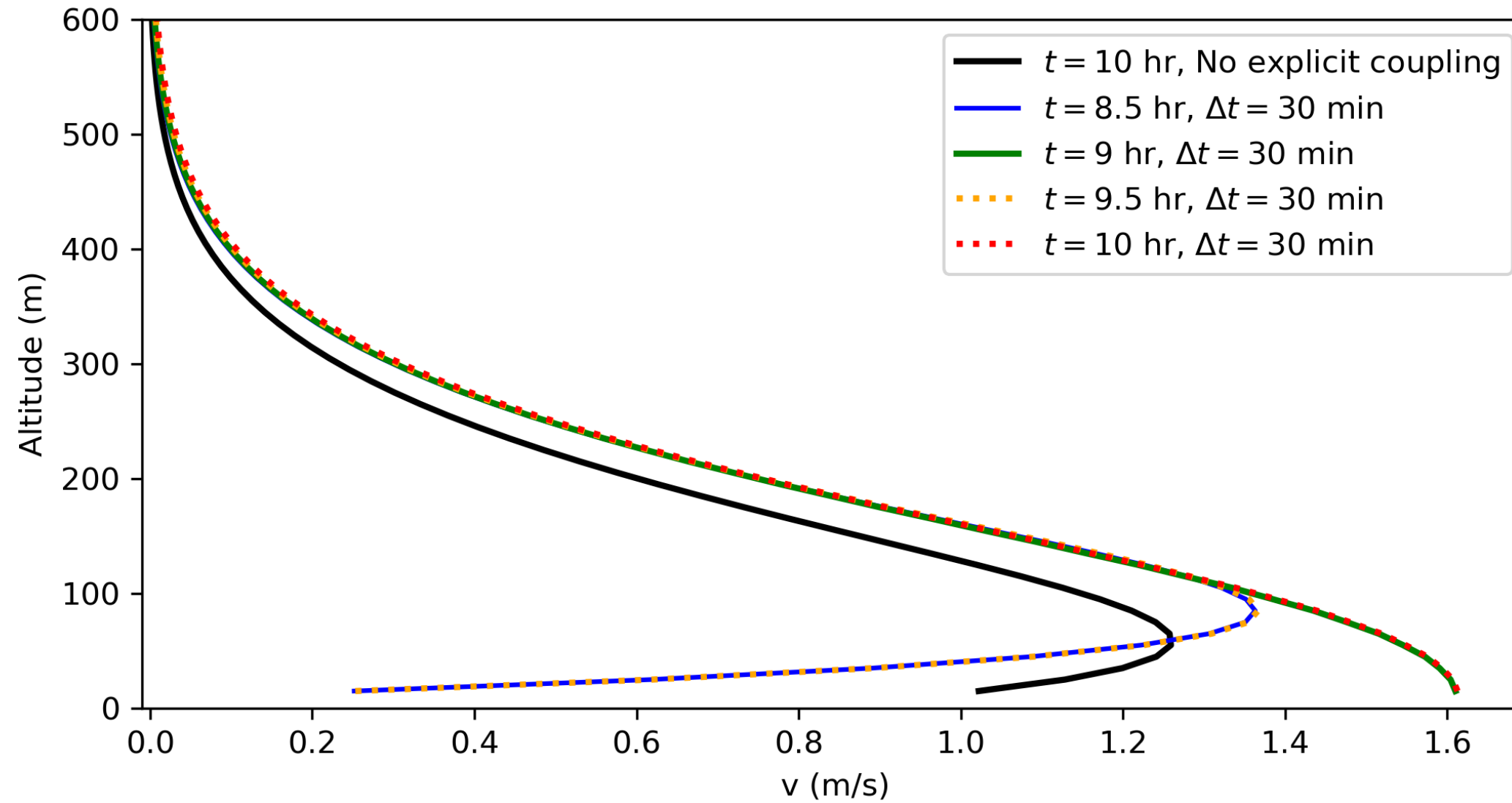
- Numerically, we can also reproduce the oscillations.
- Demonstrates that this problem can be (qualitatively) reproduced with no sequential splitting (hence no process order).
- This gives us a test problem to try different numerical methods on.



# Wind profiles produced by model

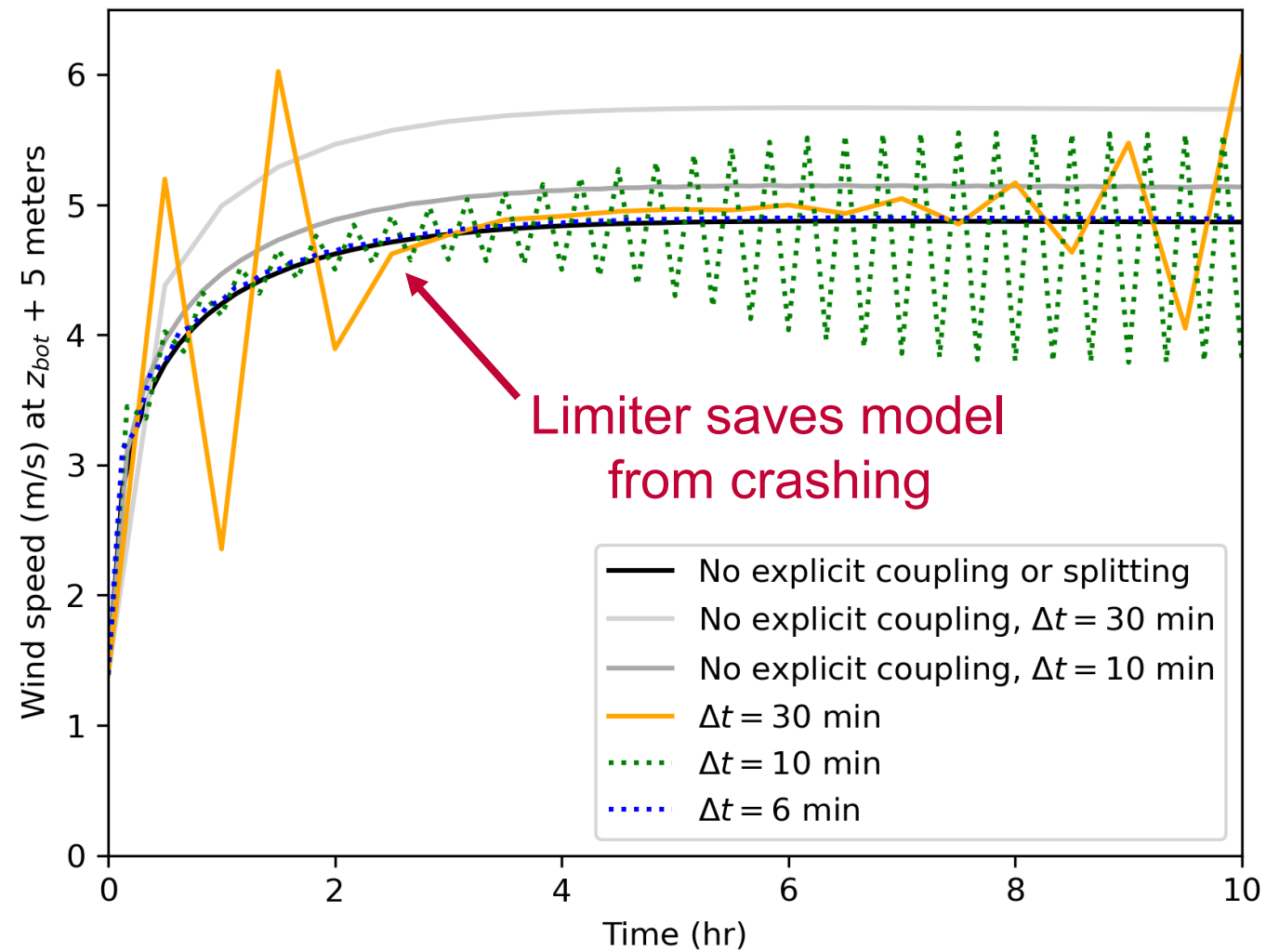


# Wind profiles produced by model

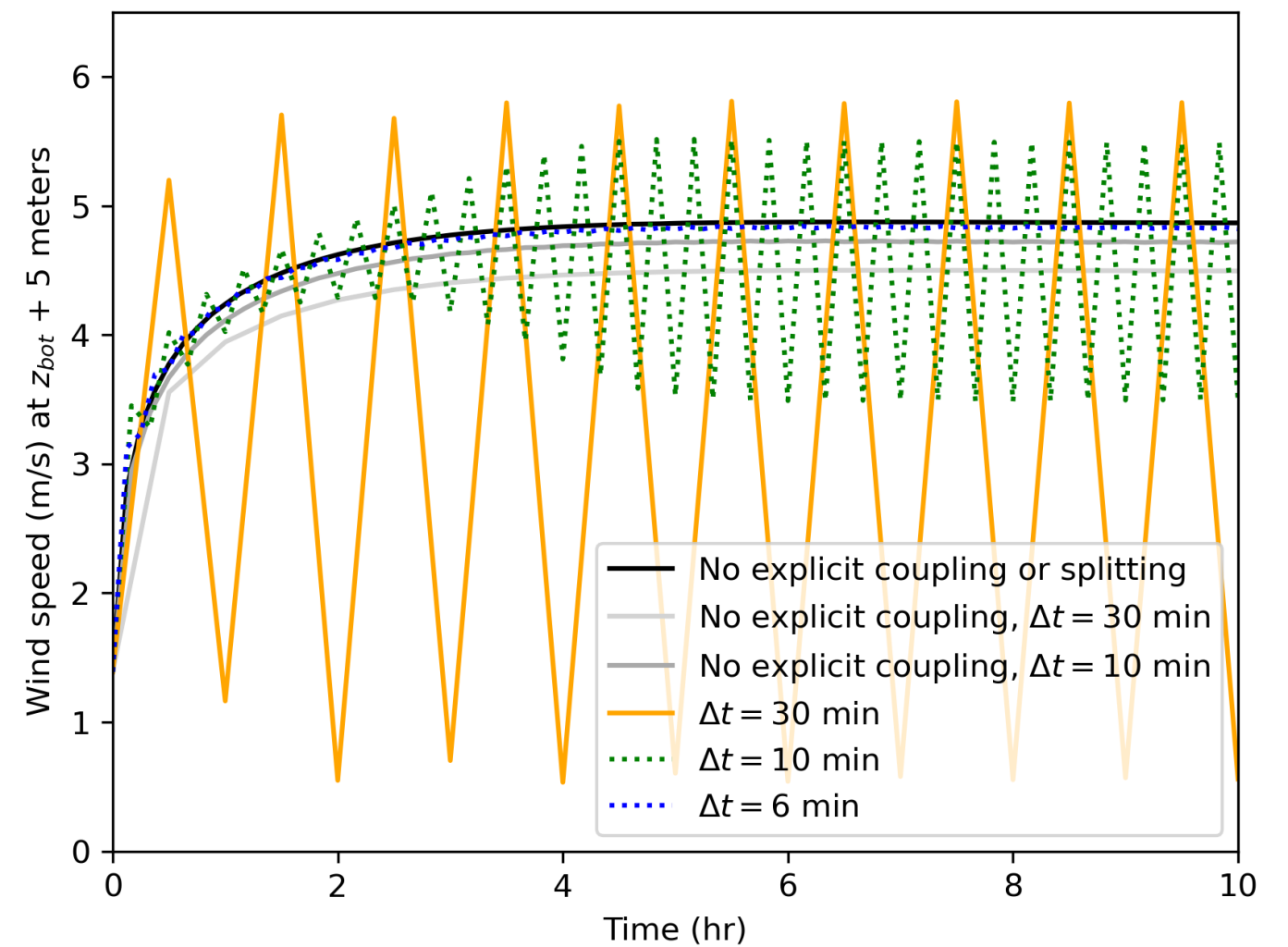


# Comparison of sequential split methods

Vertical diffusion before dynamics



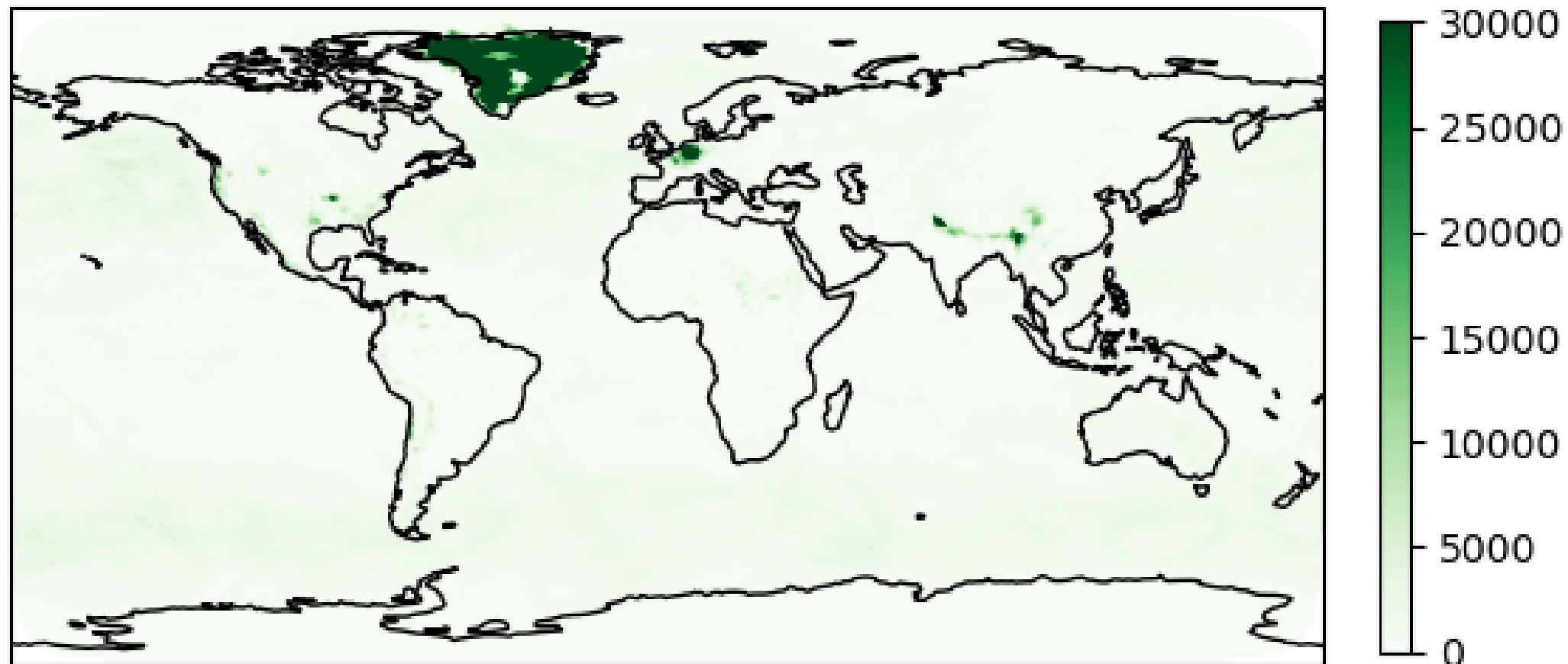
Vertical diffusion after dynamics





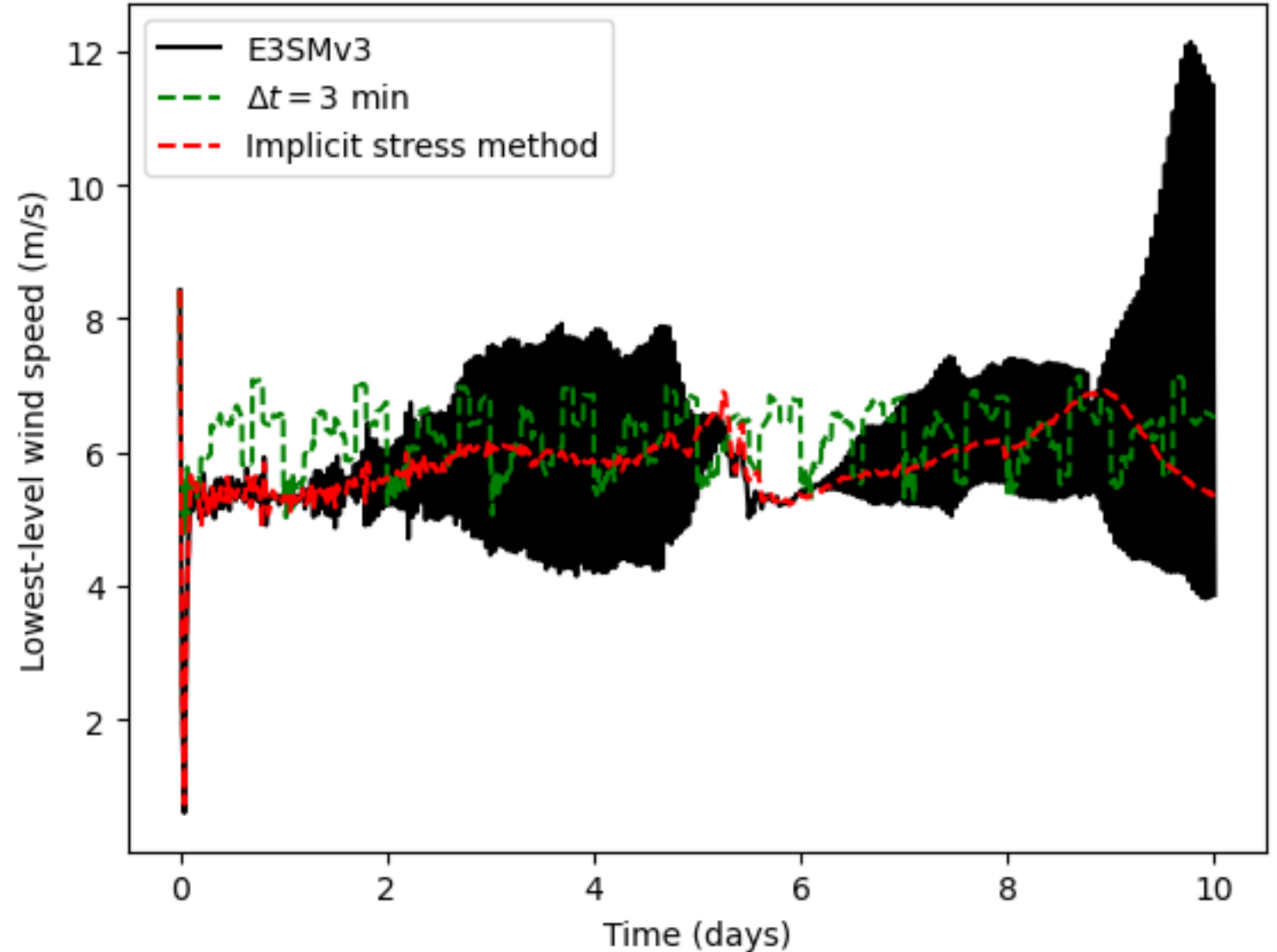
# Preliminary results for improved numerics

- Early testing: run E3SMv3 for 10 days, outputting wind every time step.
- Look at estimated power spectral density of wind time series at  $2\Delta t$ .
  - $3 \times 10^4 m^2/s$  is about the magnitude of the diurnal cycle over most of the globe.



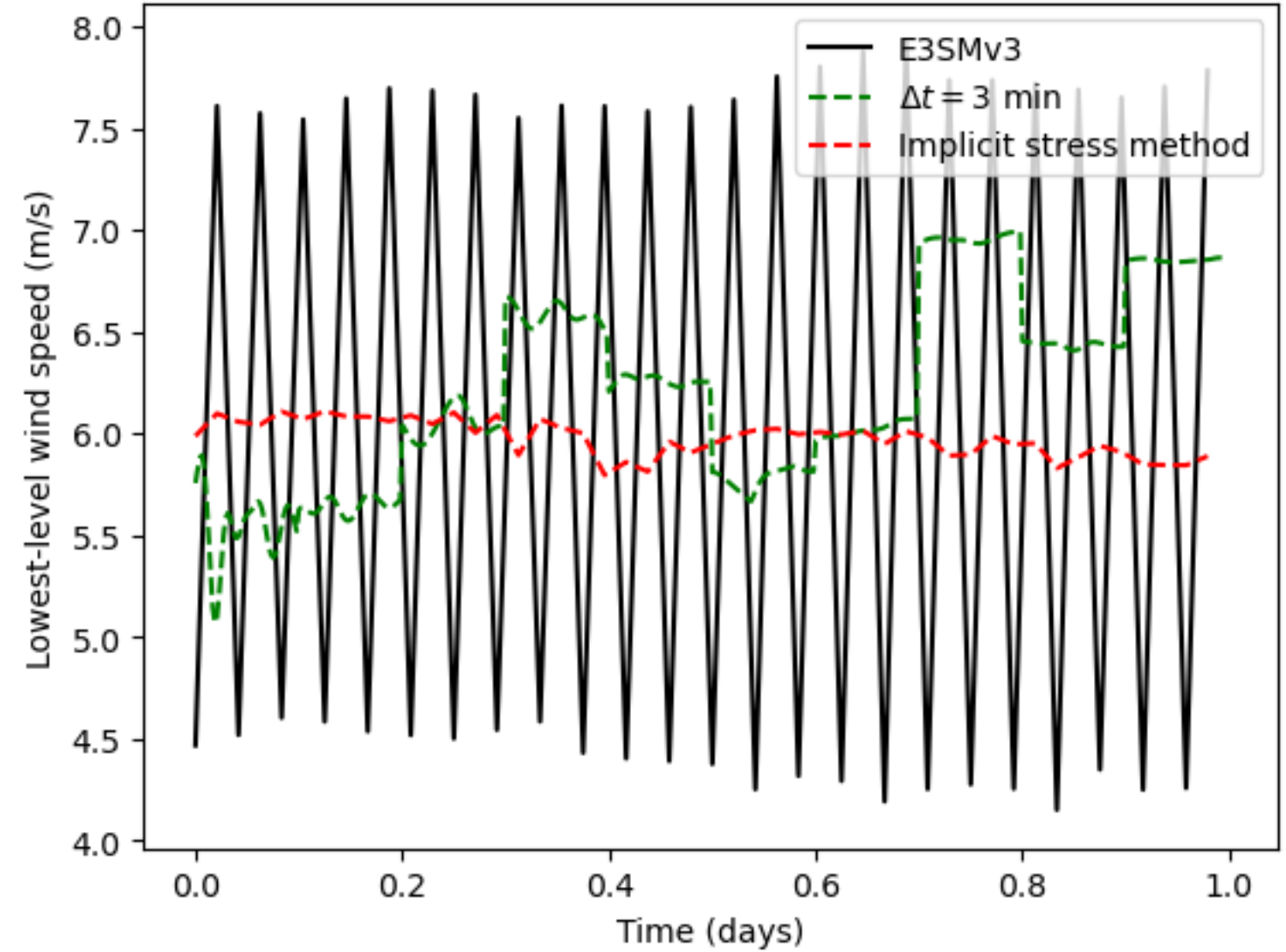
# Tested interventions

- Two tested changes:
  - Reduce model time step to 3 min.
  - Use implicit method to set stress based on a linear approximation of the PBL scheme.
- Both are effective at removing oscillations (graph on left is at a point in Greenland).
- Model time step reduction is obviously too expensive, but diurnal changes are themselves interesting.



# Tested interventions

- Same as previous slide, except zooming in on day 4



# Ongoing and future work

- Testing additional interventions.
  - Recalculate surface fluxes within CLUBB loop.
    - ✓ With or without "corrections" to improve conservation of momentum.
  - Simpler variations of implicit method code.
  - Implicit method for energy fluxes, not just momentum.
- Interaction with vertical grid
  - Have some simplified model results, but not yet experimenting with EAMv3 directly.
- Change CLUBB numerics?
  - In an ideal world, would want second-order coupling between land and atmosphere, but this is not trivial
- Testing climate impact.
  - Does the implicit method improve wind speeds?
  - What happens in the fully coupled model (e.g. to SSTs, sea ice)?





# Thank you

Work performed as part of the  
PAESCAL SciDAC-5 project at PNNL.