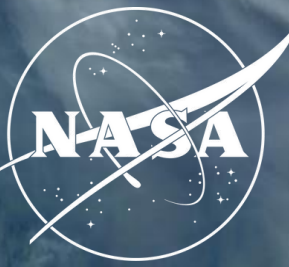


# Designing an optimal strategy for GMAO S2S ensemble forecasts

Andrea Molod  
NASA/GSFC GMAO

Work of: Anna Borovikov, Siegfried Schubert  
And GMAO's Seasonal Prediction Development Group and Software Infrastructure Team

# GMAO Seasonal Prediction Group



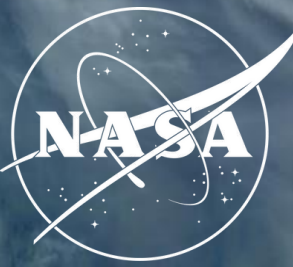
**GMAO Seasonal Prediction group uses coupled Earth-System models and analyses, in conjunction with satellite and *in situ* observations, to study and predict phenomena that evolve on sub/seasonal to decadal timescales. A central motivation for GMAO is the innovative use of NASA satellite data to improve forecast skill**

- **Atmosphere/Ocean Coupled Model Development**
- **Ocean Analysis Development**
- **Development of Initialization/Perturbation Strategy for ensembles of Sub/Seasonal Forecasts**
- **Coupled Assimilation Strategy Development**
  
- **Production of Coupled Data Assimilation (Re)Analysis**
- **Production/Dissemination of Sub/Seasonal Forecasts**
  
- **Evaluation/Assessment of Forecast Fidelity**
- **Evaluation/Assessment of Assimilated Ocean State**
  
- **Predictability Studies**

**GEOS-S2S-2 was released in November 2017 (Molod et al., 2020)**

**GEOS-S2S-3 “one-way” weakly coupled reanalysis (“GiOcean”) and retrospective forecast suite are ~50% completed, due for release and near-real time use in early 2025**

# GEOS-S2S-3 System Characteristics



## Model

- AGCM: Recent GMAO NWP (including aerosol model) + two-moment cloud microphysics
- OGCM: MOM5, ~0.25 deg, 50 levels; Ice Sheet runoff to proper location
- New “atmosphere-ocean interface layer” - diurnal warming and cool layer
- Sea Ice: CICE-4.0
- Forecasts: initialized from “GiOcean” NRT assimilation, new perturbation/ensemble strategy;
- Hindcasts: initialized from “GiOcean” “late look” reanalysis, new perturbation/ensemble strategy;

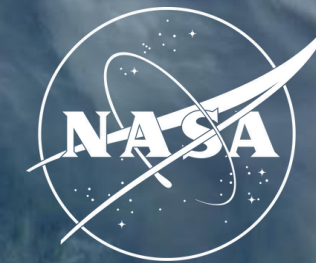
## Coupled Ocean Data Assimilation System – Coupled Reanalysis “GiOCEAN”, “GiOcean-NRT”

- atmosphere is “replayed” to “GEOS\_IT”; precipitation correction over land, modified “replay”
- Aerosol is “replayed” to analyzed aerosol optical depth
- Penny et al. (2013) LETKF code/system, set here using (updated) static background error statistics;

## Observations

- nudging of SST and sea ice fraction from GEOS-IT boundary conditions, new technique for sea ice;
- assimilation of *in situ* Tz and Sz including Argo, XBT, CTD, tropical moorings;
- assimilation of satellite along-track ADT (Jason, Saral, ERS, GEOSAT, HY-2A, CryoSat-2);
- sea ice concentration from the National Snow and Ice Data Center (NSIDC).
- assimilation of SMAP, Aquarius sea surface salinity

# GEOS-S2S-3 Forecast Ensemble Strategy

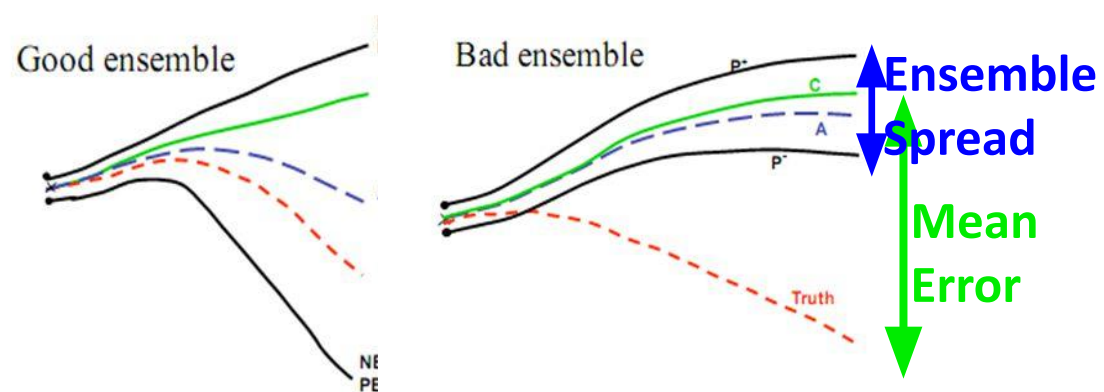


## Motivation for Change in Ensemble Perturbation Strategy:

- GEOS-S2S Tropical Pacific SST was found to be under-dispersive early in the forecast and over-dispersive later (Molod et al., 2020). This prompted the change in the ensemble perturbation strategy.

Evaluate “confidence” by comparing:

- Ensemble spread (distance among members)
- Mean Error (mean of error of individual ensemble members)



Kalnay, 2003

Following *Barnston et al (2015)* we compare the mean intra-ensemble standard deviation ( $\sigma$ ) with the standard error of the estimate ( $SEE$ ):

$$SEE = SDy \sqrt{1 - cor_{xy}^2}$$

where  $SDy$  is the standard deviation of the observation ( $y$ ), and  $cor_{xy}^2$  is the squared correlation between the ensemble mean forecast ( $x$ ) and the observation.

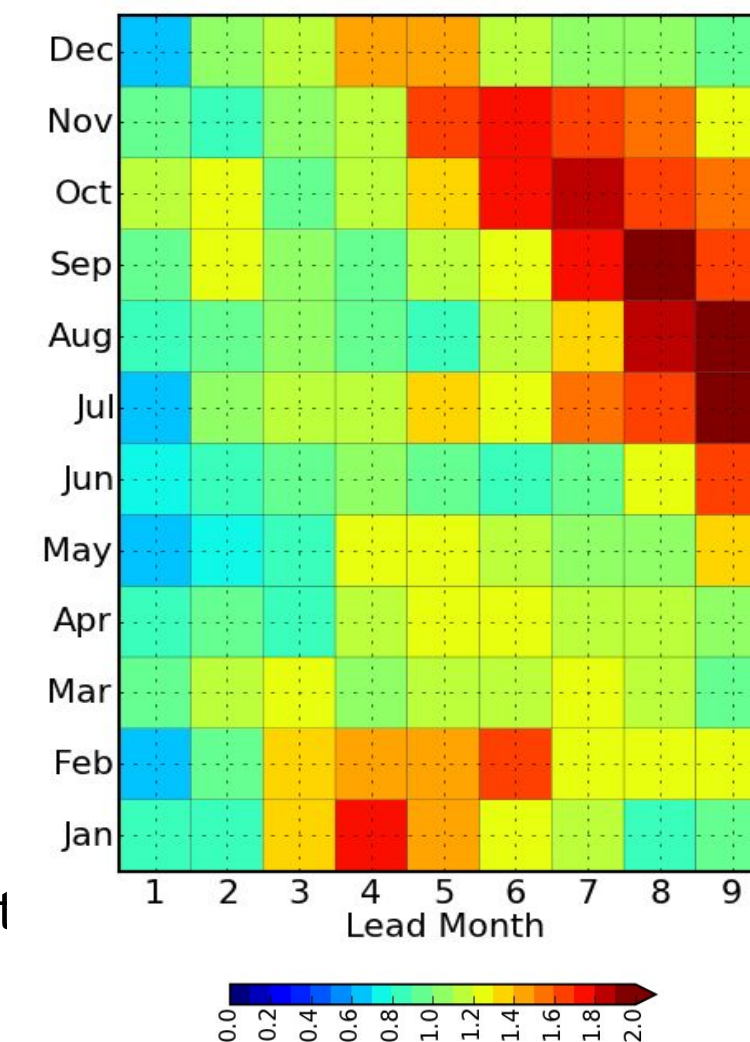
$\sigma$ : standard deviation of the intra-ensemble spread

$R = \sigma/SEE$ , which should be close to 1 for a perfect model

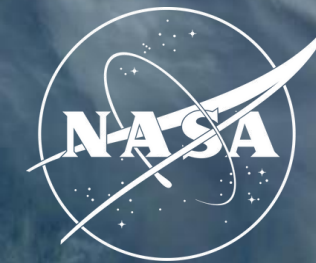
if  $R < 1$  the model is **under dispersive**

if  $R > 1$  model is **over dispersive**

R =  $\sigma/SEE$  (GEOS-S2S-2)



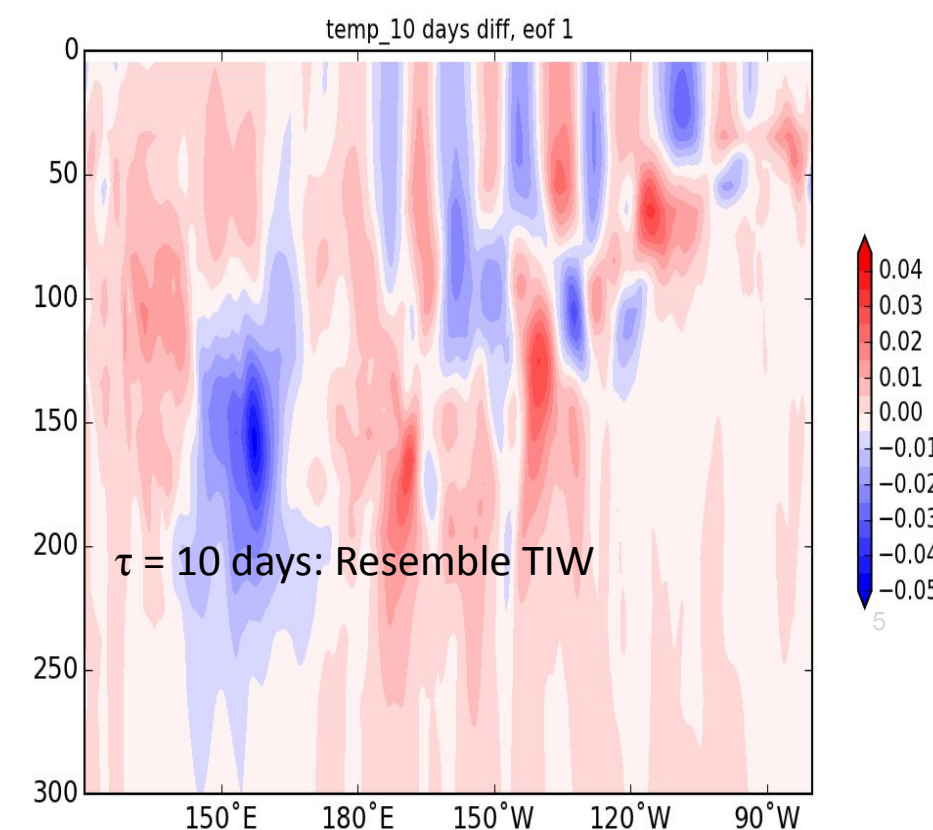
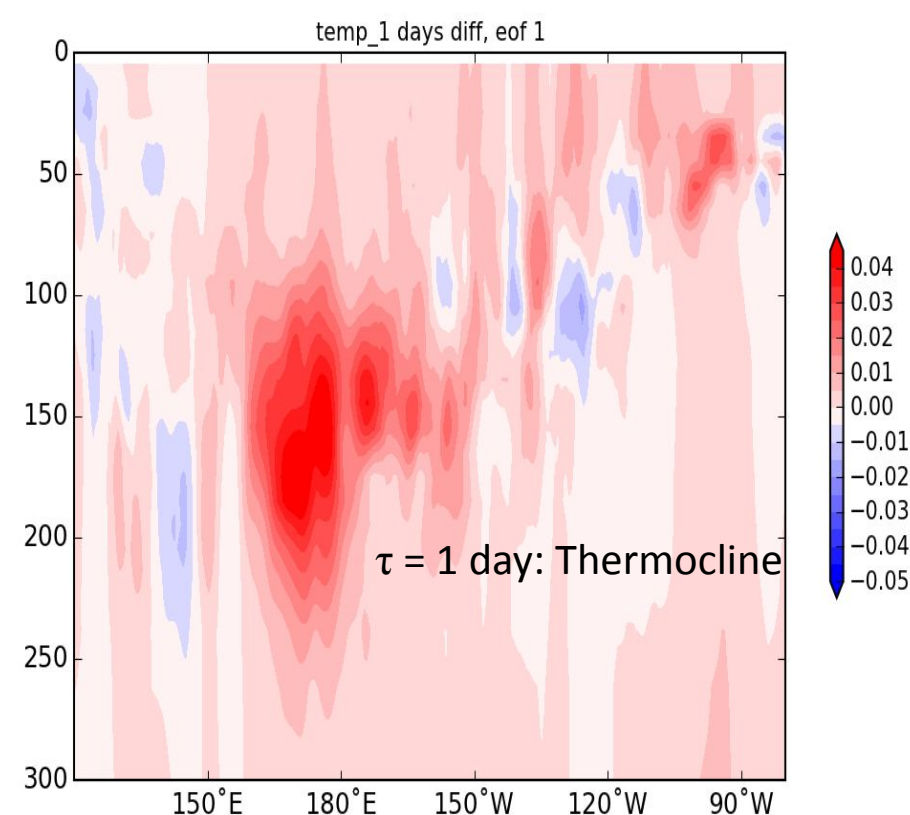
# Ensemble Forecasts – Perturbation Strategy



**GEOS-S2S-2:** Perturbations are scaled differences in AODAS states, 1-day differences for subseasonal forecasts, 5-day differences for seasonal.

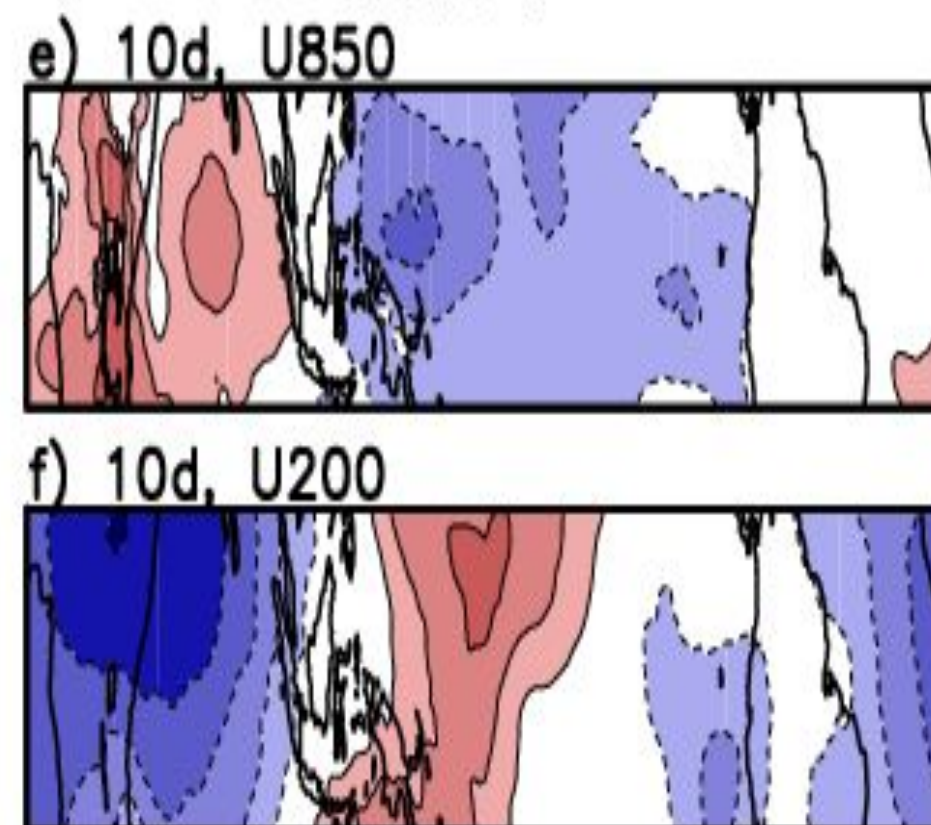
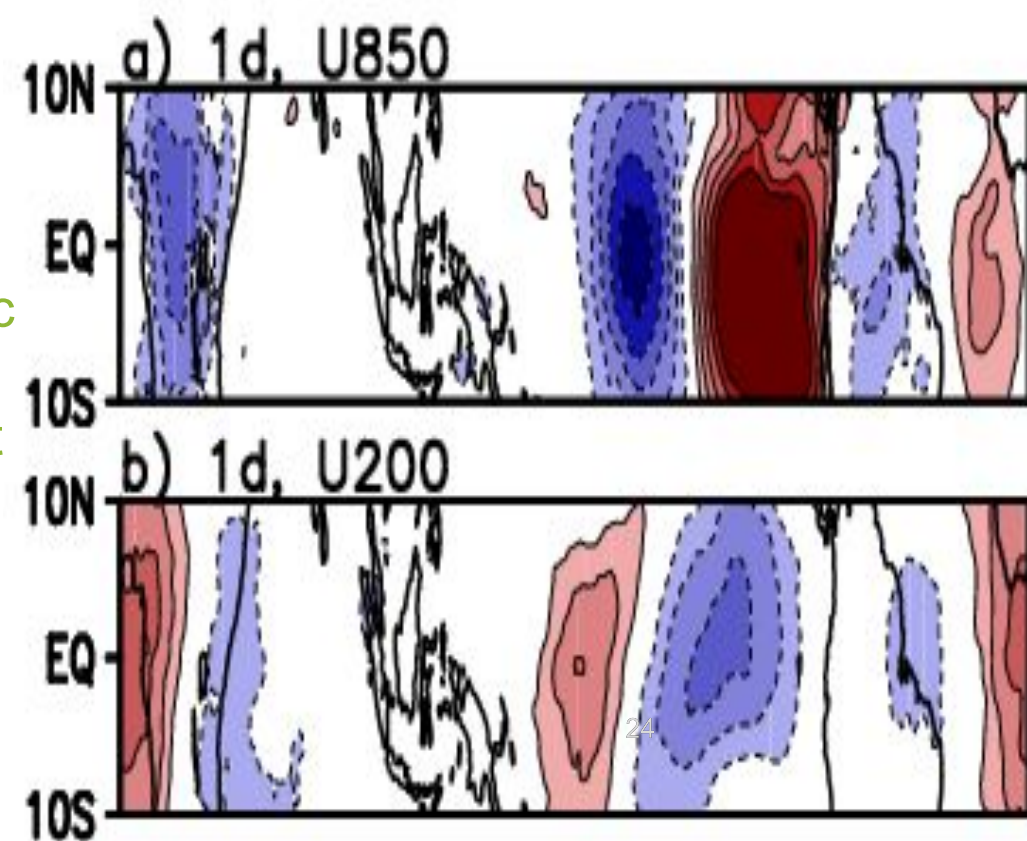
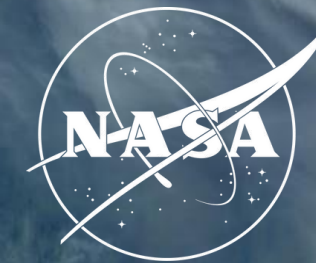
**GEOS-S2S-3:** “Synchronized Multiple Time-lagged (SMT)” - Perturbations for combined forecasts are randomly selected from 1-day through 10-day differences in AODAS states. These spatial structures are closely related to the optimal perturbations that would be obtained from a singular value decomposition of the linear propagator  $\mathbf{A}$  ( $\Delta \vec{X}_\tau(t) \equiv \vec{X}(t + \tau) - \vec{X}(t) \approx \mathbf{A}_\tau \vec{X}(t)$ ), and presumably be sampling preferentially those perturbations with the largest growth rates.

Typical structure of SON ocean temperature perturbations, shown as the leading EOF of the Pacific equatorial x-z cross section of temperature averaged between 2°S-2°N.



From: Schubert et al., 2019

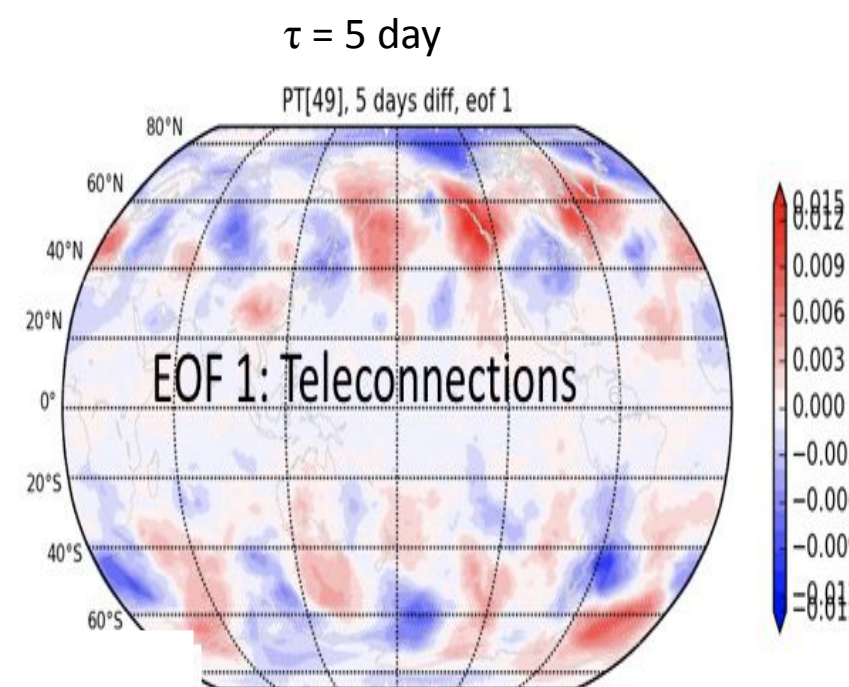
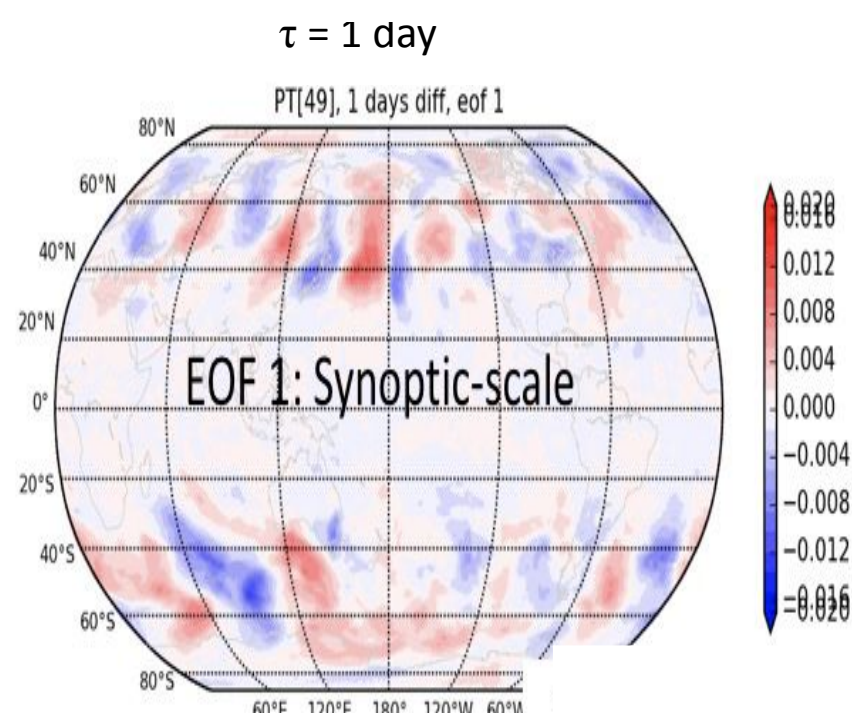
# Ensemble Forecasts – Perturbation Strategy



Typical (leading EOF) structure of atmospheric perturbations in the tropics for zonal wind at 850mb and 200mb during DJF.

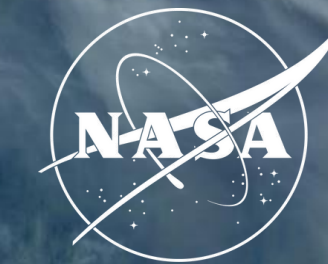
*By varying the separation time between nearby analysis states we are able to generate a wide array of different types of atmospheric and oceanic perturbations that represent physically realistic and important modes of variability.*

Typical (leading EOF) structures of SON 450 mb Potential Temperature perturbations.



From: Schubert et al., 2019

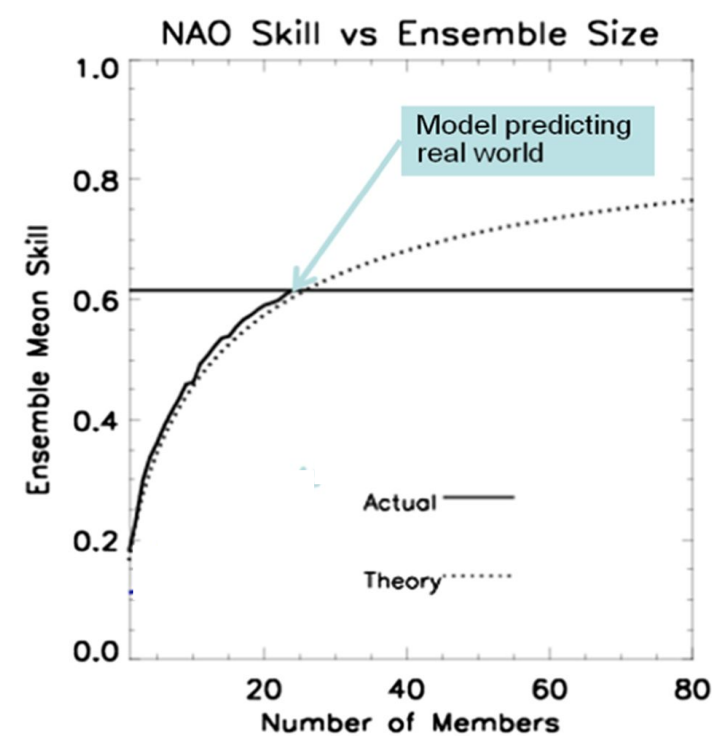
# Ensemble Forecasts – Ensemble Size



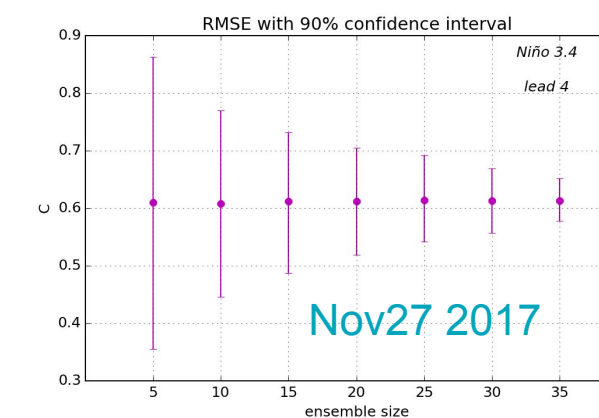
## Motivation for Change in Ensemble Size:

- Extratropical skill was lower than the best state-of-the-art systems because of the small ensemble size (eg., Scaife et al., 2018). This prompted the change in ensemble size and the new approach to the number of ensembles.
- Little evidence of additional skill from ensemble size beyond a few months. This prompted a sub-sampling strategy for extending selected ensemble members

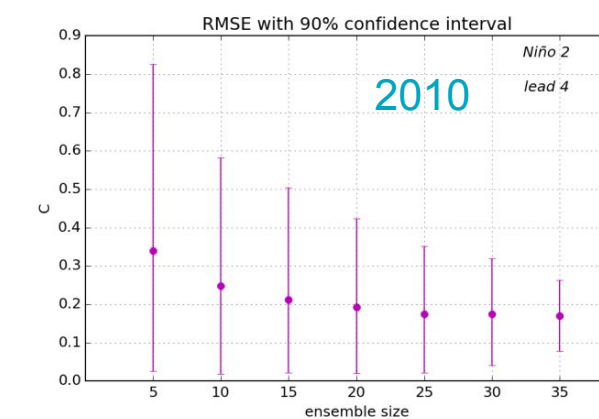
NAO at 1 month lead  
(Scaife & Smith, 2018)



ENSO at 4 months lead



Most often – error remains steady with larger ensemble size

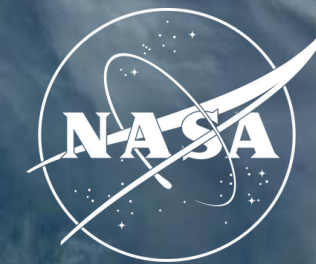


Rare – error continues to drop with larger ensemble size

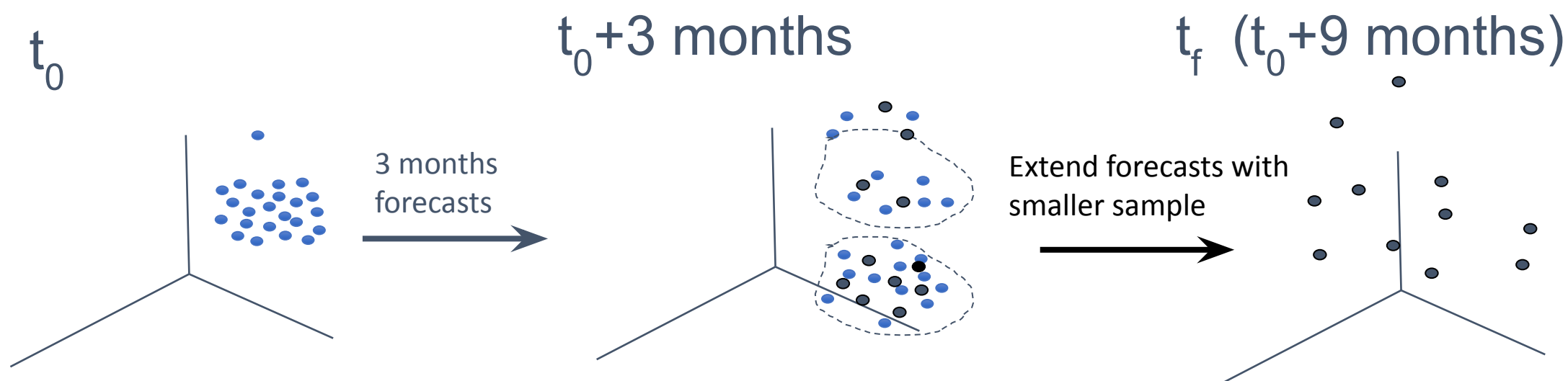
We need lots of ensemble members for short lead, but not for long leads.

Analysis performed by: Anna Borovikov

# Ensemble Forecasts – Ensemble Size



## Forecast Ensemble Strategy – Sub-sampling



**Initial ensemble size should be as large as possible for carrying out three month forecasts**

After the first 3 months most atmospheric teleconnections and land impacts have little skill tied to initial conditions.

**Stratify based on emerging directions of error growth using K-means clustering, and allocate proportionally within each stratum**

This is done based on the Nino3.4 index since it is largely ENSO that matters after the first 3 months.

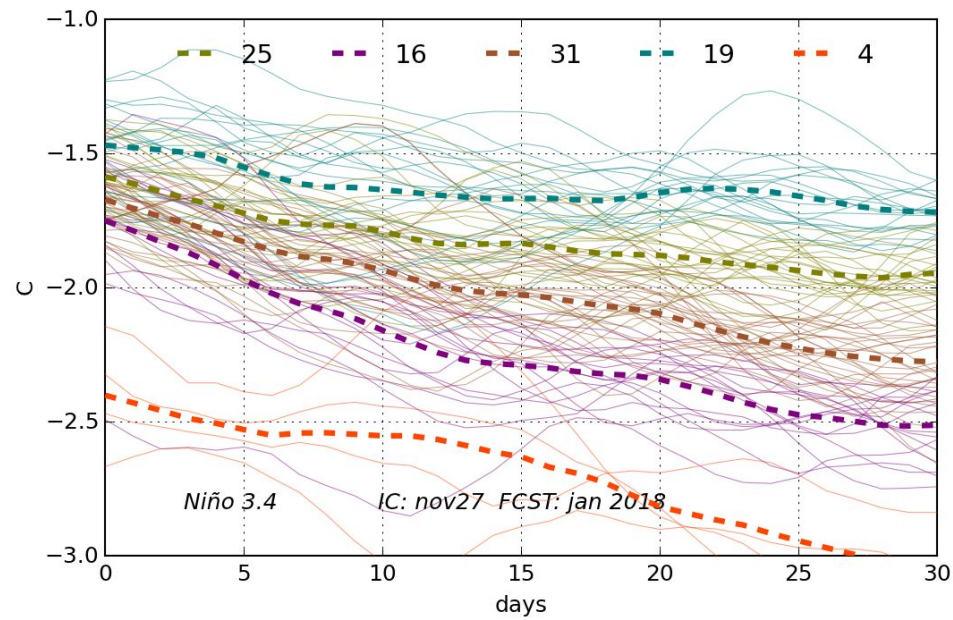
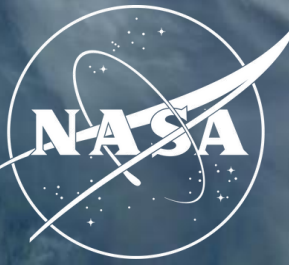
**Final long-lead forecasts with the small subset of the initial larger ensemble**

8

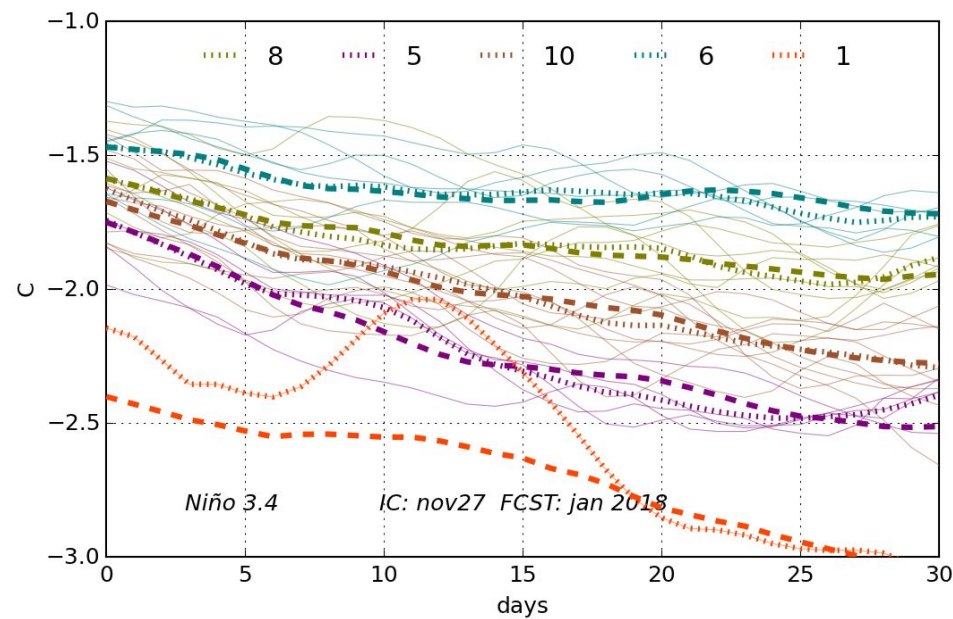
From: Schubert et al., 2019



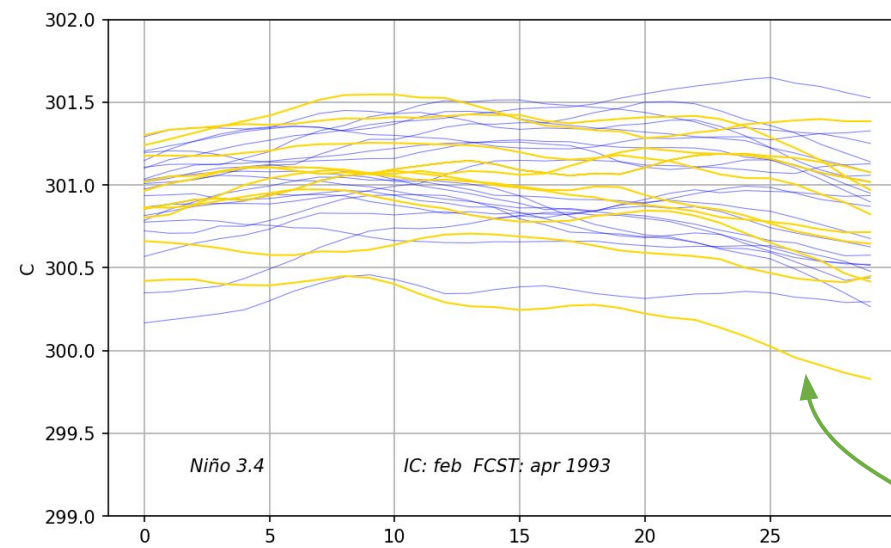
# Ensemble Forecasts – Ensemble Size



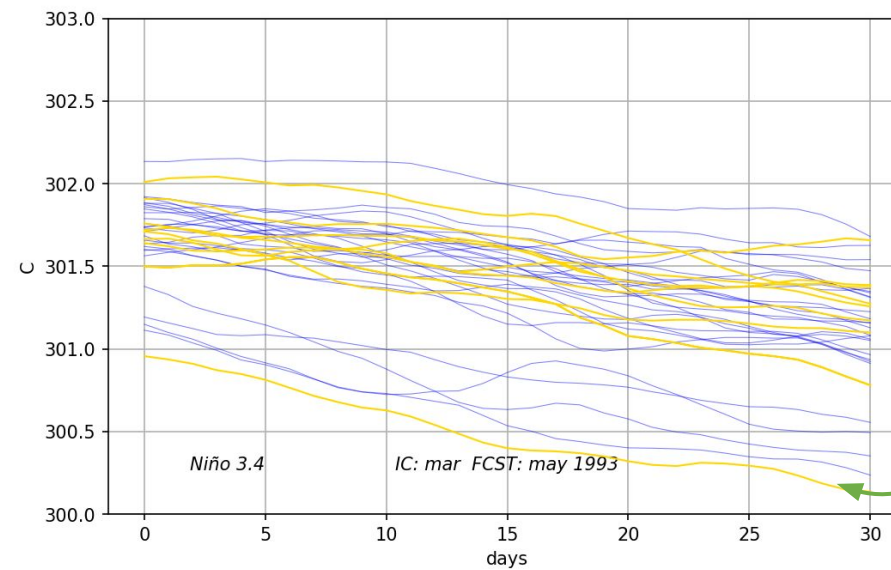
EXAMPLE:  
original  
clusters  
and means



EXAMPLE:  
sub-sampled  
clusters  
and means  
(dotted lines)  
original means  
(dashed lines)

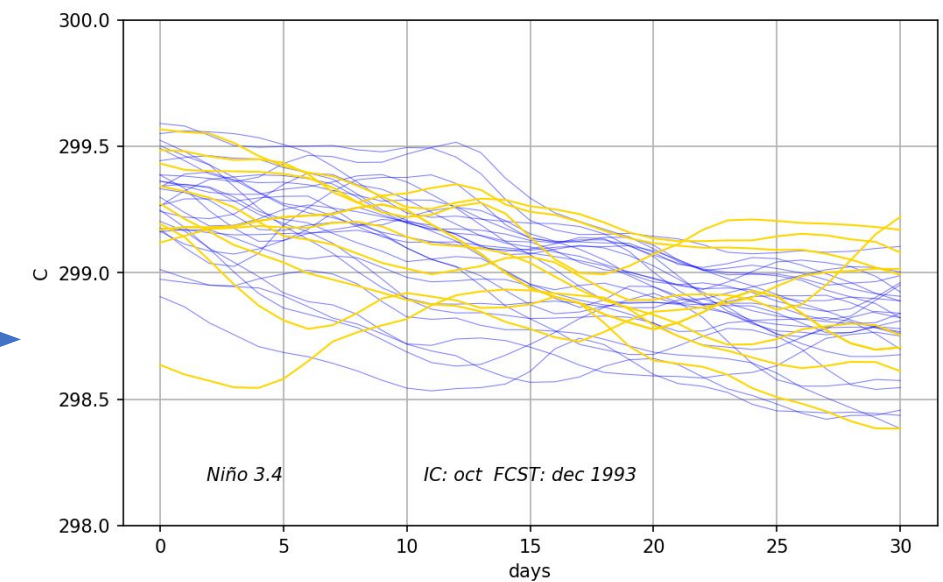
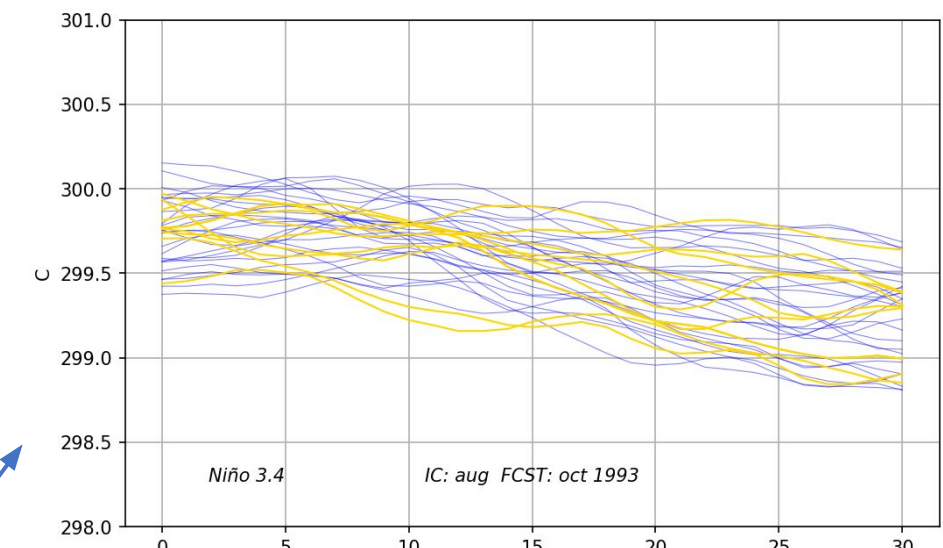


Examples of the routine  
diagnostic plots.

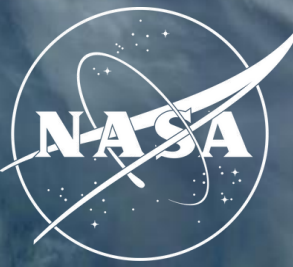


“outlier”  
members  
picked up

tight or wide  
spread  
reflected well

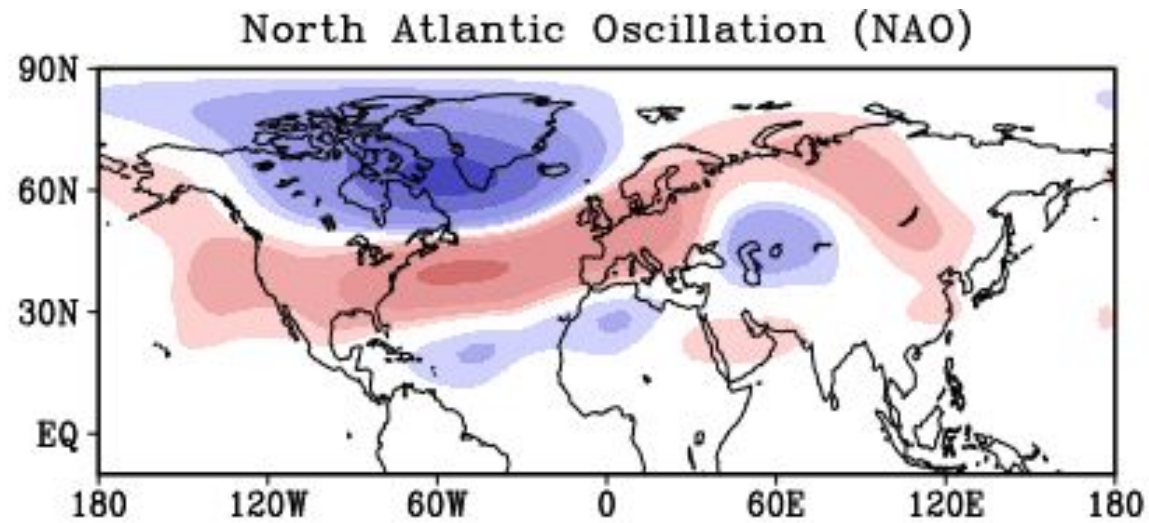
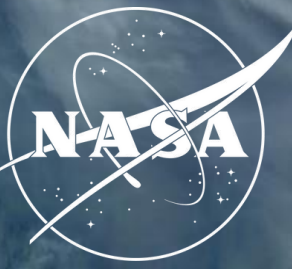


# GEOS-S2S-3 Near Real-Time Sub/Seasonal Prediction Suite



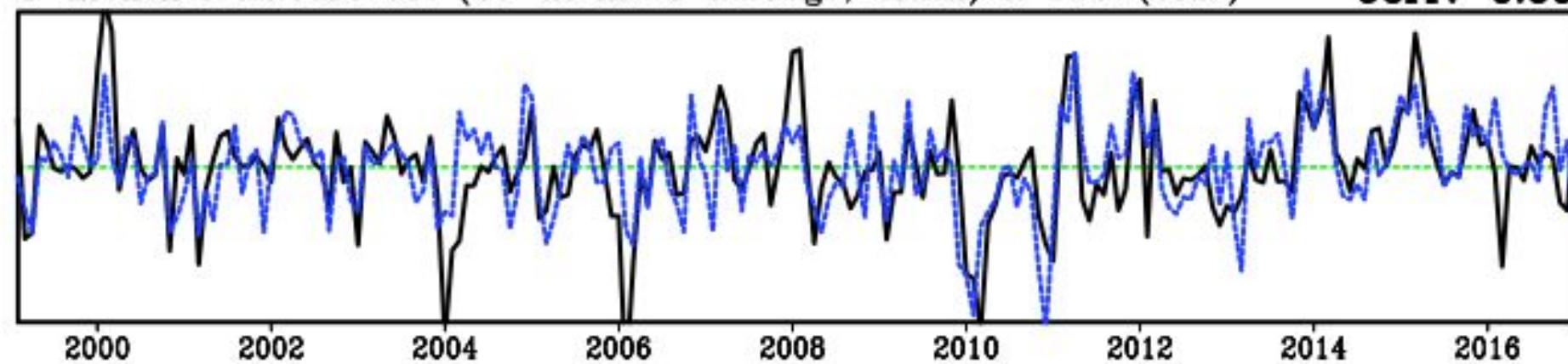
	Sub/Seasonal
Length of Forecast	9 months
Frequency of forecasts	Every 5 days
Number of Ensembles	40 member lag/burst for first three months, selection of 10 members for remaining 6 months
Frequency of submission	Once per week OR once per month (as needed)
Retrospective Initial Conditions from	"GiOcean – Late Look" GEOS-S2S-3 AODAS
Retrospective Forecasts	1982-2022
Near-real time Initial Conditions from	"GiOcean – First Look" GEOS-S2S-3 AODAS

# GEOS-S2S-3: Forecast Evaluation - NAO

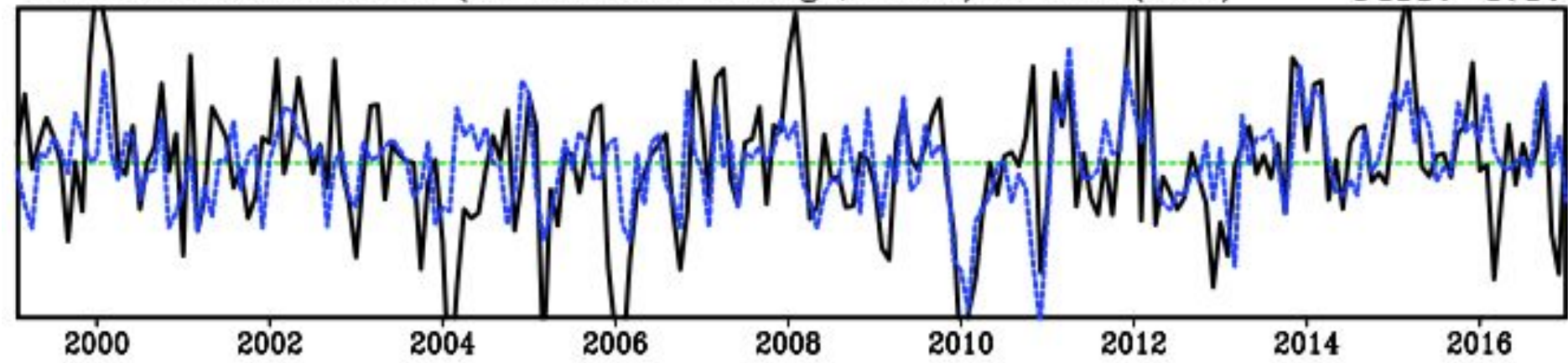


Analysis of Young-Kwon Lim

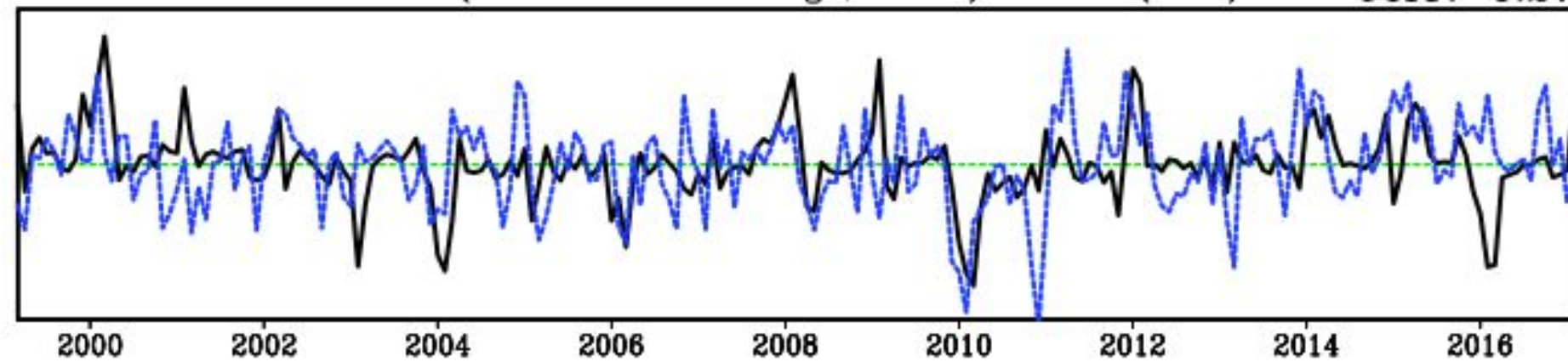
1-month lead forecast (40-member average, black) & Obs. (blue) **Corr.=0.60**



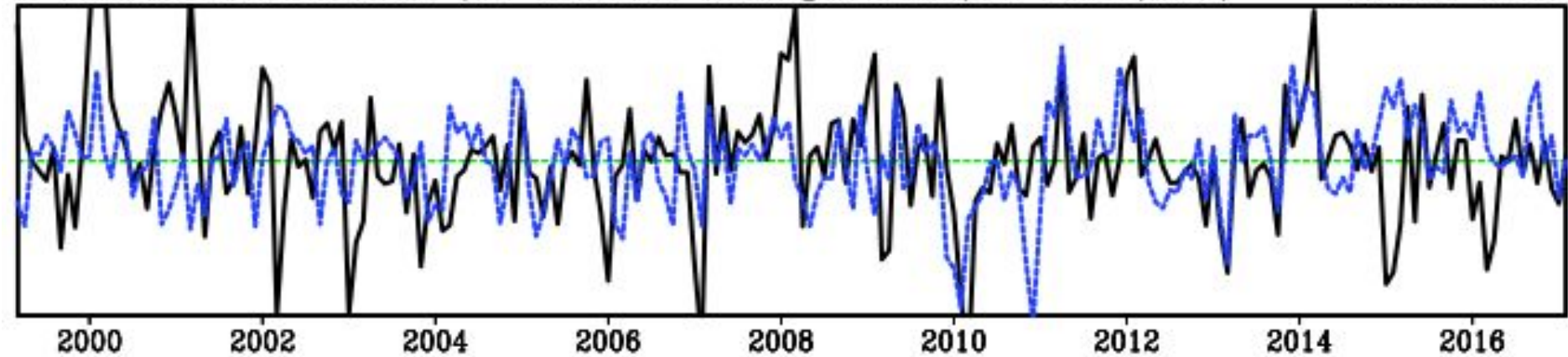
1-month lead forecast (40-member average, black) & Obs. (blue) **Corr.=0.47**



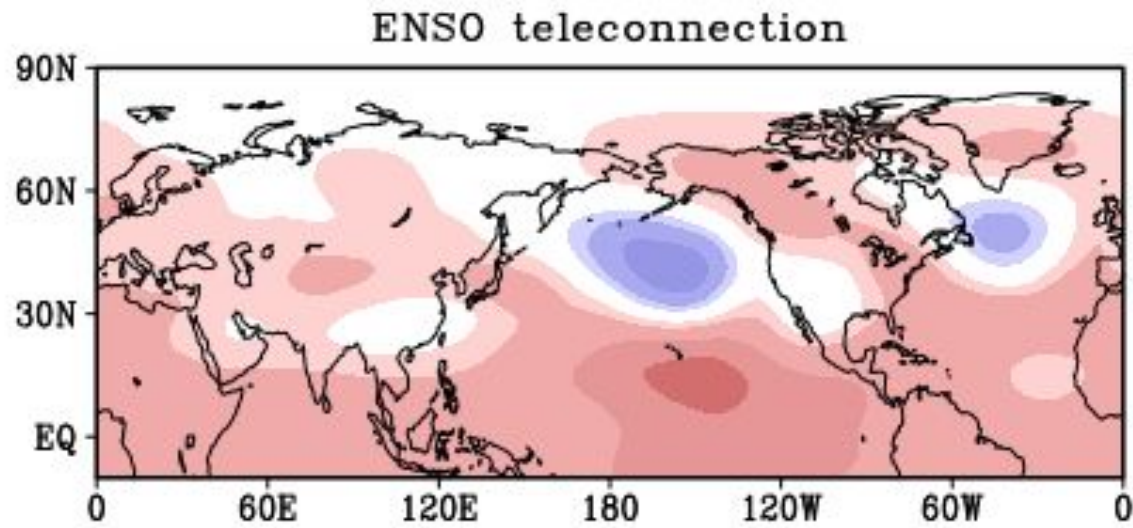
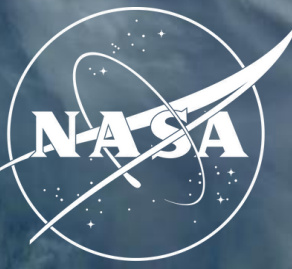
2-month lead forecast (40-member average, black) & Obs. (blue) **Corr.=0.27**



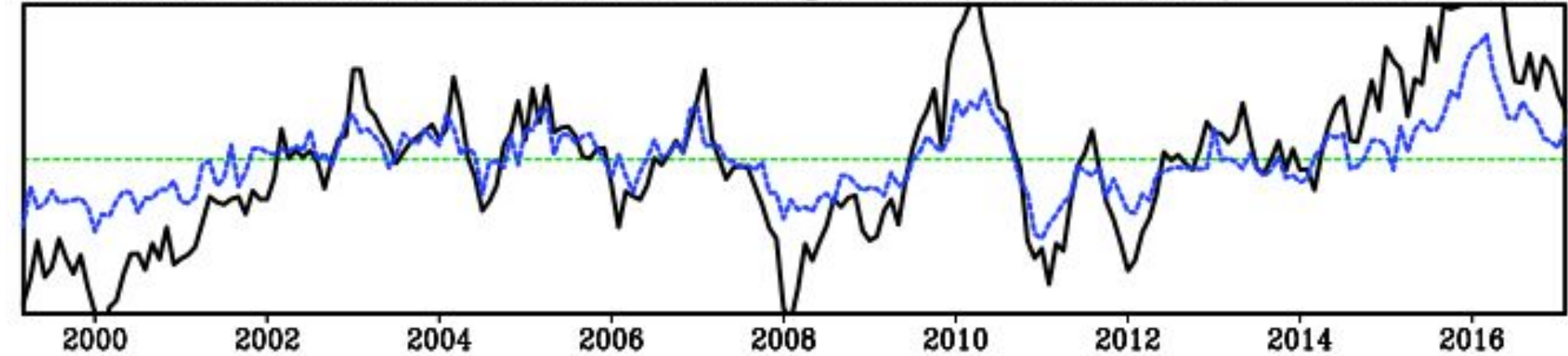
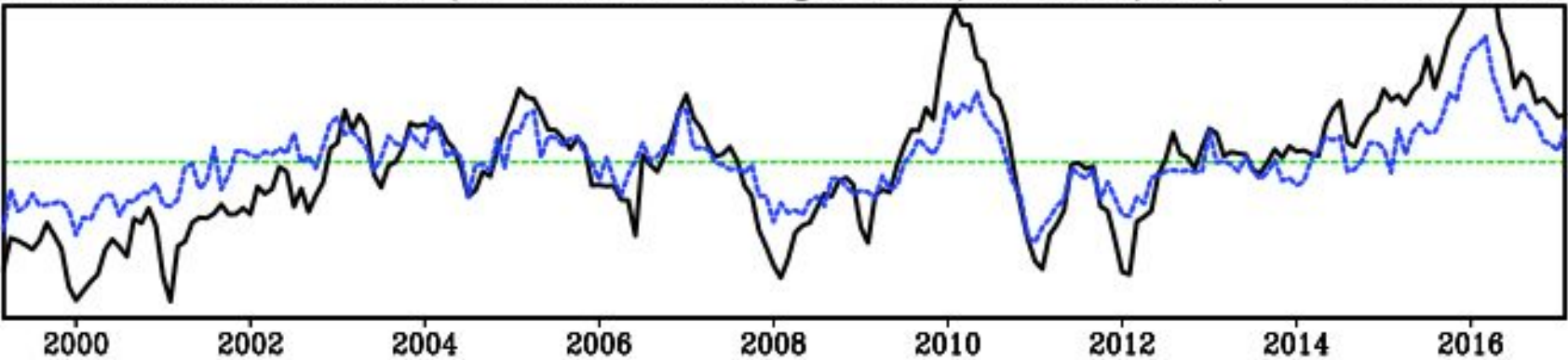
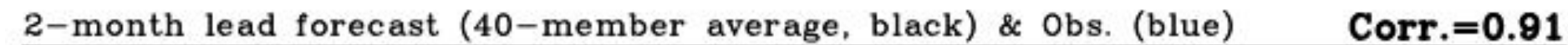
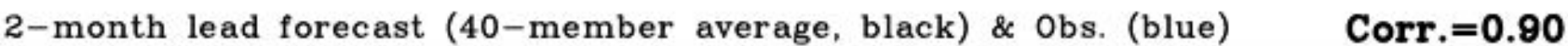
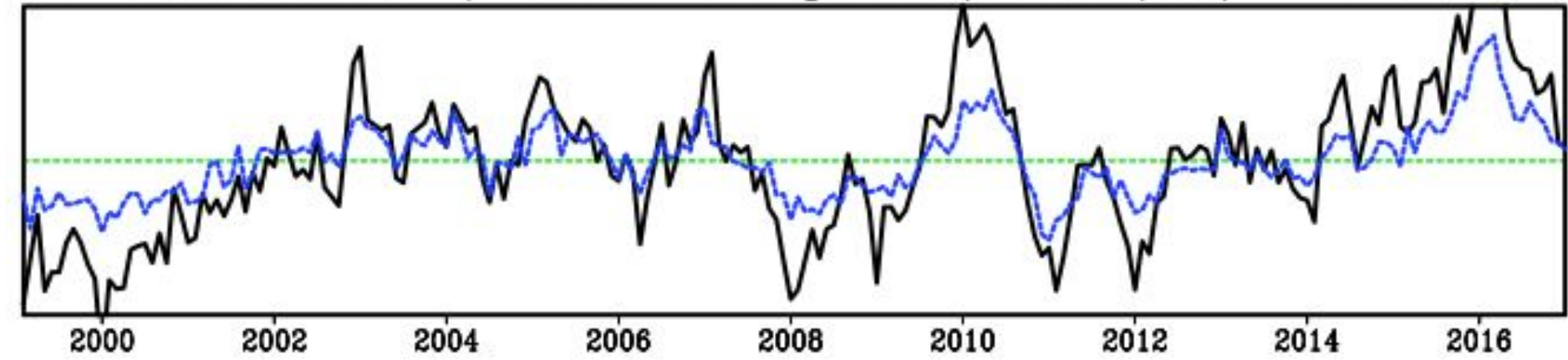
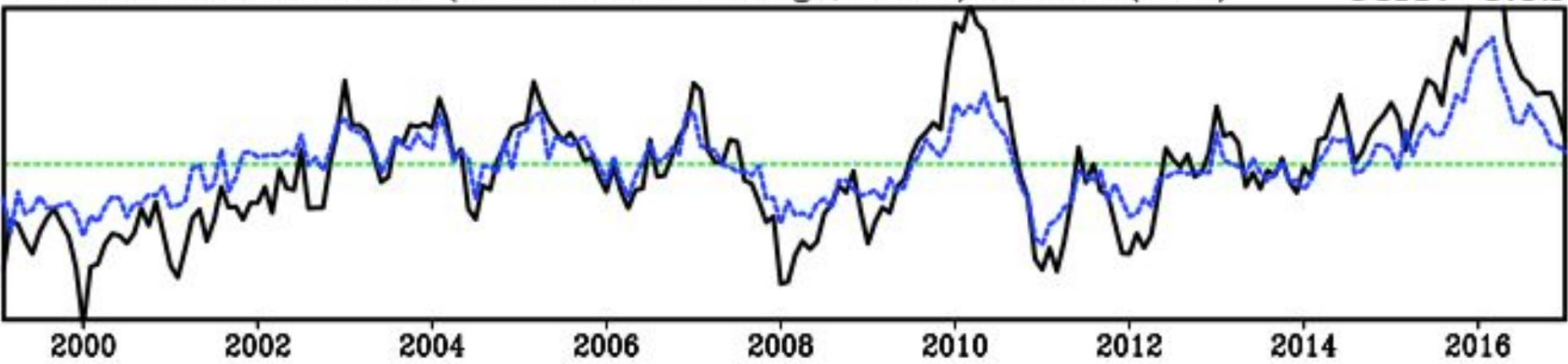
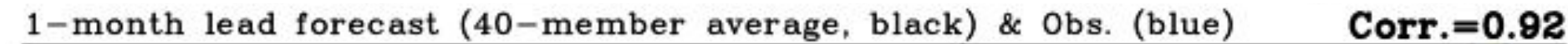
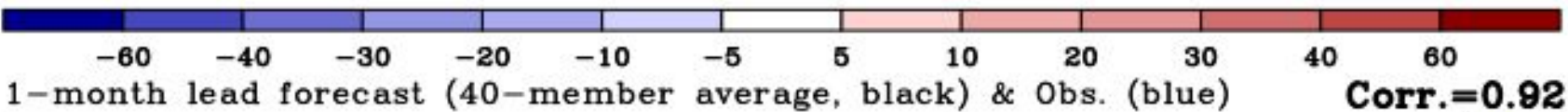
2-month lead forecast (40-member average, black) & Obs. (blue) **Corr.=0.17**



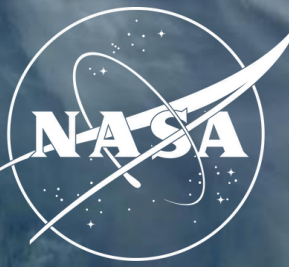
# GEOS-S2S-3: Forecast Evaluation – ENSO Teleconnection



Analysis of Young-Kwon Lim



# Summary



- GEOS-S2S-3 Ensemble Perturbation Strategy now approximates perturbations of the most important and unstable structures – this strategy has improved forecast confidence
- GEOS-S2S-3 Ensemble Size has expanded to 40 ensemble members for the first 3 months of lead time and extends 10 of those to 9 months. This strategy has improved NAO forecast skill as well as other atmospheric modes.

Schubert Siegfried, Anna Borovikov, Young-Kwon Lim, and Andrea Molod, 2019. **Ensemble Generation Strategies Employed in the GMAO GEOS-S2S Forecast System.** *NASA Technical Report Series on Global Modeling and Data Assimilation, NASA/TM-2019-104606, Vol. 53, 75 pp.*